

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Wai M. LEE et al.

Confirmation No.: To Be Assigned

Application No.: 10/694,999

Art Unit: To Be Assigned
(2823 in parent)

Filed: October 29, 2003

Examiner: To Be Assigned
(W. Coleman in parent)

For: APPARATUS FOR SUBSTRATE PRE-
TREATMENT (as amended)

Attorney Docket No.: 8317-226-999

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

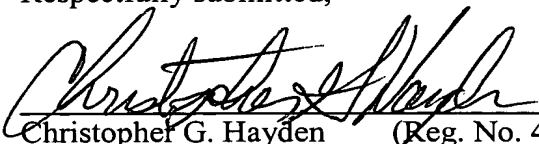
Pursuant to applicants' duty of disclosure under 37 C.F.R. § 1.97 and 1.98, enclosed is a List of References Cited by Applicant list 15 references in chronological order for the Examiner's convenience. These references are listed on the enclosed Form PTO-1449. The listed references were references cited in the parent application number 09/874,330, filed on June 6, 2001.

It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the Form PTO-1449.

No fee is believed to be due for this submission of this response. Should any fee be required, however, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date: December 10, 2003


Christopher G. Hayden (Reg. No. 44,750)
For: Victor N. Balancia (Reg. No. 31,231)
PENNIE & EDMONDS LLP
1667 K Street, N.W.
Washington, D.C. 20006
202-496-4400



LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO. 8317-226-999	APPLICATION NO 10/694,999
	APPLICANT Wai M. LEE et al.	
	FILING DATE October 29, 2003	GROUP (2823 in parent)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01	4,770,590	09/1988	Hugues et al..	414	172	
	A02	5,140,366	08/1992	Shiozawa et al.	355	53	
	A03	5,178,989	01/1993	Heller et al.	430	323	
	A04	5,272,099	12/1993	Chou et al.	437	41	
	A05	5,292,558	03/1994	Heller et al.	216	13	
	A06	5,486,176	01/1996	Shimizu et al.	606	71	
	A07	5,534,312	07/1996	Hill et al.	427	533	
	A08	5,627,087	05/1997	Hsu et al.	438	302	
	A09	5,652,166	07/1997	Sun et al.	437	56	
	A10	5,716,758	02/1998	Bae et al.	430	312	
	A11	5,935,762	08/1999	Dai et al.	430	312	
	A12	5,989,759	11/1999	Ando et al.	430	22	
	A13	6,072,207	06/2000	Yoshimori et al.	257	295	
	A14	6,307,087 B1	10/2001	Buchwald et al.	558	388	
	A15	6,387,012	05/2002	Mitamura, Satoshi	427	100	
	A16						
	A17						
	A18						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B01							
	B02							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	C01	Copy of the International Search Report (PCT/US02/17588), dated September 11, 2002
	C02	
	C03	
	C04	

EXAMINER	DATE CONSIDERED
-----------------	------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.